

## ANNEX A

Case ref	City or Parish	Complainant	Date Received	Nature of Complaint	Status	Updates
739	Parish	Parish Councillor	15/11/19	Allegations that the subject member behaved aggressively towards the complainant.	External investigation ongoing.	<p>The complaint is on hold pending the outcome of investigations into the conduct by another party. The 3<sup>rd</sup> party investigation is now complete, therefore, the Code of Conduct complaint can now proceed. Parties to be notified.</p> <p>Assessment Sub Committee to be formed.</p> <p>Sub-Committee determined that investigation is required – sourcing an external investigating officer.</p> <p>External investigating officer has now been appointed.</p>
740	Parish	Parish Councillor	13/11/19	Allegations that the subject member behaved aggressively towards a Clerk.	External investigation ongoing.	<p>The complaint is on hold pending the outcome of investigations into the conduct by another party. The 3<sup>rd</sup> party investigation is now complete, therefore, the Code of Conduct complaint can now proceed. Parties to be notified.</p> <p>Assessment Sub Committee to be formed.</p> <p>Sub-Committee determined that investigation is required – sourcing an external investigating officer.</p>

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						External investigating officer has now been appointed.
741	Parish	Parish Councillor	15/11/19	Allegations that the subject member verbally attacked the Chair and the Clerk and sent threatening emails.	External investigation ongoing.	<p>The complaint is on hold pending the outcome of investigations into the conduct by another party. The 3<sup>rd</sup> party investigation is now complete, therefore, the Code of Conduct complaint can now proceed. Parties to be notified.</p> <p>Assessment Sub Committee to be formed.</p> <p>Sub-Committee determined that investigation is required – sourcing an external investigating officer.</p> <p>External investigating officer has now been appointed.</p>
2020/10	City	Residents	12/07/20 - 24/07/20	Numerous complaints were received with regards to the Councillor's comments and behaviour on social media.	Investigation completed now – referred back to Sub-Committee.	<p>This complaint is currently being investigated.</p> <p>Draft report now finalised and sent to subject Councillor for comment.</p> <p>Comments received, sub committee to be reconvened to review findings.</p> <p>Sub-Committee determined that further investigation is required – passed back to investigating officer.</p>

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						Investigation completed now – referred back to Sub-Committee.
2020/12	CYC	Resident	11/09/20 / 16/09/20 (officially)	The complaint is in relation to the resident's planning application. The complainant claims the Councillor supported a council officer rather than providing a fair and neutral opinion as a Councillor and was inextricably linked to personal interests in relation to a committee meeting.	MO reviewed report. No breach found, matter closed.	The subject matter of this complaint has been investigated by a 3 <sup>rd</sup> party, that has now concluded, therefore, this can now proceed.  Investigation ongoing.  MO reviewed report. No breach found, matter closed.
2020/13	CYC	Parish	05/11/20	The complaint is in relation to an email sent by the Councillor – the complainant believes this is an offensive email in breach of the code of conduct.	Local Resolution accepted by subject Member. Matter closed.	This complaint has been passed to an investigating officer. Draft report prepared and to be sent to parties w/c 15.03.21 for comment.  Draft report finalised and breach found. MO assessing whether local resolution is suitable.  Breach to be dealt with through local resolution – parties informed.  Local Resolution accepted by Subject Member. Matter closed.
2020/14	Parish	Resident	18/11/20	The complainant would like a Parish Councillor investigated as personal animosity has been preventing the PC to serve the parishioners.	Hearing Panel adjourned to end of September.	This complaint has been assessed and is due to be allocated to an investigating officer.  Draft report to be distributed to parties w/c 15.03.21.

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						<p>Parties have responded, draft report to be passed to MO for consideration.</p> <p>Breach found, being referred for hearing.</p> <p>Hearing Panel adjourned to end of September.</p>
2020/17	Parish	Parish	01/12/20	The complainant claims the Councillor had an emotional outburst at an online parish council meeting which is claimed to have publicly impugned the integrity of the complainant.	MO has accepted the draft report, currently considering whether to deal with the matter informally or by way of hearing.	<p>This complaint has been assessed and is due to be allocated to an investigating officer.</p> <p>Investigation ongoing.</p> <p>Meeting arranged with Investigating Officer on 20 April 2021 when she returns from leave. Update will be provided then.</p> <p>Investigation now completed, breach in part found. With MO to determine next steps.</p> <p>MO has accepted the draft report, currently considering whether to deal with the matter informally or by way of hearing.</p>
2020/20	CYC	CYC	13/12/20	The complainant claims the councillors breached the code of conduct. It is alleged the	This complaint is currently being investigated.	Assessment can now be completed as IP view now received.

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				councillors made potentially libellous allegations against the complainant and others, and it is alleged they have set out a series of untruths about City of York Council within a newsletter sent out.		Investigation ongoing.
2021/01	CYC	York Residents	03/01/21	The complainant alleges the Cllr chose to be “aggressive, narrow minded and discriminative” against him and his business, “rather than take a pragmatic, reasonable approach to this incident”.	Closed. Hearing Panel determined no breach.	<p>Assessment of complaint currently taking place.</p> <p>Passed for investigation.</p> <p>Draft report sent to parties, asked for comments by 19.03.21.</p> <p>No comments so passed draft report to MO.</p> <p>Breach found, MO upholds the finding. Local resolution offered but not accepted. Hearing to be arranged.</p> <p>Closed. Hearing Panel determined no breach.</p>
2021/06	Parish Councillor	Parish Councillor	11/02/20	The complainant alleges that the Cllr behaved in an intimidatory and bullying manner.	External investigation ongoing.	<p>This complaint is now being looked into as the criminal proceedings have now concluded (in 2021).</p> <p>The 3<sup>rd</sup> party investigation is now complete, therefore, the Code of</p>

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						<p>Conduct complaint can now proceed. Parties to be notified.</p> <p>Assessment Sub Committee to be formed.</p> <p>External investigating officer has now been appointed.</p> <p>External investigation ongoing.</p>
2021/08	Parish Councillor	Parish Councillor	06/04/21	This is an additional complaint in respect of the Parish Council meeting in February 2021 in which the Chairman and to some extent the Council have acted “unlawfully” in respect to actions taken at the meeting.	This complaint is currently being investigated.	Matter currently under investigation.
2021/09	Parish Councillor	York Resident	06/04/21	This complaint is in addition to 2020/19. This complaint pertains to the actions taken during the February 2021 Parish Council meeting in which the complainant feels further adds to the issues raised in the original complaint in respect to the conduct of the councillor.	This complaint is currently being investigated.	Matter currently under investigation.
2021/11	Parish	Parish	23/06/2021	The complaint relates to allegations of threats and false statements amongst Parish Councillors.	Closed.	<p>Currently being assessed by MO.</p> <p>Complaint assessed. Complaint dismissed in relation to the Clerk, as they do not fall within the remit of the Code of Conduct. Complaint in relation to Town Councillors not</p>

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						progressing due to insufficient information and tit for tat. Closed.
2021/12	Parish	Parish	01/07/2021	The complainant alleges the Parish Councillor has repeatedly bullied, threatened and harassed Councillors and staff.	This complaint is currently being investigated by the external investigating officer.	Complaint assessed and the allegations set out in the complaint are covered by the code, sufficient information is within the complaint to refer for investigation and the allegations are serious in nature. It is also in the public interest to refer for investigation.
2021/13	CYC	CYC	15/07/2021	The complainant alleges the Cllr has breached the following standards codes: failure to treat others with respect, bullying and intimidation, and bringing the council into disrepute.	This complaint is currently being investigated.	Complaint assessed and will progress to investigation.
2021/14	CYC	CYC	19/08/2021	The complainant alleges the Cllr has breached the following standards codes: 3.1: You must treat others with respect. 3.2 You must not do anything which may cause the Council to break any equality enactment. 3.3 You must not bully or intimidate any person, or attempt to bully or intimate them. 3.7 You must not conduct yourself in a manner which could reasonably be regarded as bringing the Council into	This complaint is currently being investigated.	

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				disrepute, or your position as a councillor into disrepute.		
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